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01933 7590 09/23/2004

FRISHAUF, HOLTZ, GOODMAN & CHICK, PC
767 THIRD AVENUE
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NEW YORK, NY 10017-2023

12/10/2004 HVUONG2 00000015 09992961

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/992,961	11/05/2001	Takeo Watanabe	01708/HG	6777

TITLE OF INVENTION: METHOD OF MANUFACTURING GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIA, GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIA MANUFACTURED USING THE METHOD, AND INFORMATION RECORDING MEDIUM USING THE GLASS SUBSTRATE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1350 \$1400	\$300	\$1650 \$1700	12/23/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
VINCENT, SEAN E	1731	065-030140

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☒ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list **FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.**

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE
HOYA CORPORATION

(B) RESIDENCE: (CITY and STATE OR COUNTRY)
TOKYO, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 4

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- ☒ A check in the amount of the fee(s) is enclosed. (Check No. 95619 for \$1,712.00)
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☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1378 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

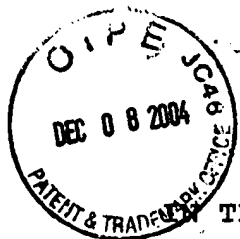
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Authorized Signature Richard S. Barth
Typed or printed name Richard S. Barth

Date December 8, 2004
Registration No. 28,180

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Appl. No. : 09/992,961
Applicant(s): Takeo WATANABE et al
Filed : November 5, 2001
For : METHOD OF MANUFACTURING
GLASS SUBSTRATE...
Art Unit : 1731
Examiner : S.E. Vincent
Docket No. : 01708/HG
Customer No.: 01933
CONFIRMATION NO.: 6777

LETTER TRANSMITTING
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\$1712.00 in payment of the Issue Fee (\$1400.00), Publication fee
(\$300.00) and 4 copies of the printed patent (\$12.00).

Respectfully submitted,

RICHARD S. BARTH
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Francine E. Smith

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